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Customer Number

Patent
Case No.: 55343US007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: TABAR, RONALD J.
Application No.: 10/691981 Confirmation No.: 2467
Filed: October 23, 2003
Title: METHODS OF MAKING HIGH GAIN OPTICAL DEVICES HAVING A
CONTINUOUS AND DISPERSIVE PHASE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.
- ☒ transmitted to United States Patent and Trademark Office on the date shown below via the Office electronic filing system.

September 12, 2007

Date

Signed by: Rebecca C. Bode
Rebecca C. Bode

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Fees

- ☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
- ☐ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
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Certification Under 37 § CFR 1.97(e)(1)

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Remarks

Applicants note that Chinese Patent Number 1,285,923, which is the equivalent of United States Patent Number 6,329,046, has been cited against the Chinese application related to application serial number 10/691981 in a pending Chinese Office Action.

Respectfully submitted,

September 12, 2007
Date

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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/691981
	Filing Date	October 23, 2003
	First Named Inventor	TABAR, RONALD J.
	Confirmation Number	2467
	Attorney Case Number	55343US007

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	CN	1,212,760	03-31-1999	Allen et al.		Abstract
	B2	CN	1,265,622	09-06-2000	Merrill et al.		Abstract
	B3	CN	1,285,923	02-28-2001	Ailun et al.		Abstract
	B4						
	B5						
	B6						
	B7						
	B8						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
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	C3		

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	